

SANCTIONS SCHOOL

END OF YEAR 1 TEST

TEST

Each question in the test is worth 1 point. 35 points or better is a pass.

Question	Answer	Score
Which law empowers the US Department of Justice to prosecute for sanctions violations?		
What type of payments does CHAPS facilitate?		
When did US sanctions against Cuba start?		

Describe the role of OFSI in enforcement.		
Name one of the criteria for a ship to be considered designated under UK sanctions.		
Name a sector that trade sanctions might affect		
How many regimes does the UK currently manage?		
Give a reason when a regulated firm would have to report to OFSI		
How many prohibitions does SAMLA set out under financial sanctions?		
Can the UN issue licenses?		



Is the EU responsible for implementation and enforcement of sanctions?		
If individuals or groups want to make an application to be delisted under UN sanctions, who do they have to make a request to?		
What is the difference between UK Trade sanctions and Export Controls?		
Name an example of an UK sanctions regime which incorporates transport sanctions		
Who makes the final decision on a UN listing?		
Name one reason within SAMLTA that allows sanctions to be imposed.		
Name one of the types of sanctions that can be imposed under SAMLTA 2018?		



What is the maximum fine possible for a financial sanctions breach under UK sanctions?		
Which department has responsibility for Trade and dual use items in the US?		
How frequently should you review your sanctions screening systems for list updates?		
Sanctions applicable in the EU are made via two key bodies, name one.		
How many guidance documents are currently available for UK financial sanctions?		
What percentage of ownership is needed for an entity to be considered designated in the EU?		
What is the evidential threshold for OFSI to enforce a breach of sanctions?		



Who enforces UN sanctions?		
What does a license allow you to do?		
What are firms required to do to reduce the risk of sanctions breaches?		
What is an exception.		
What type of payments does Faster Payments facilitate?		
What causes non listed parties to also become subject to sanctions?		
When were sanctions first used?		



Other than the US, can you name another jurisdiction that has sanctioned Iran?		
What is the name of the US Treasury department that has primary responsibility for US Sanctions		
How many sanctions updates does each regime typically have a year?		
Which government department has overall responsibility for UK sanctions policy?		
Can you apply to OFSI for a General License?		
How many key disciplines are there for screening?		
Who are sanctions imposed on?		



The UK has two designation processes, standard and which other?		
When are do all our active sanctions regimes date from?		
Give an example of UK trade sanctions measures		
What are the two categories that OFSI uses for monetary penalties?		
What sort of sanctions do we try to implement now?		
Can EU member states have their own autonomous regimes?		
Name one of the criteria for SAMLA's definition of 'aircraft'		



How many types of sanctions can SAMLA impose?		
Which UK Government department is responsible for trade sanctions?		
What sort of ships are not included in UK shipping sanctions?		
What are UK immigration sanctions often called?		
Name a common area for an exception.		
Total Score		

Password: d3T9QT

